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PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number 10/783,315

Filing Date 02/20/2004

First Named Inventor Michael W. Pinter et al.

Art Unit 1714

Examiner Name

Attorney Docket Number 3017A.EEM

### ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input type="checkbox"/> Other Enclosure(s) (please identify below):
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<input type="checkbox"/> Reply to Missing Parts/Incomplete Application	<input type="checkbox"/> Landscape Table on CD	
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### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	National Starch and Chemical		
Signature			
Printed name	Charles W. Almer		
Date	4/26/05	Reg. No.	36,731

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or printed name	Anne Marie Pickel	Date	4/26/05

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Attorney Docket No. 3017A.EEM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Michael W. Pinter et al.

Serial number: 10/783,315

Filing Date: 02/20/2004

Group Art unit: 1714

Title: Waterbased High Abrasion Resistant Coating

Examiner:

SUPPLEMENTAL PARAGRAPHS TO USPTO 1449  
INFORMATION DISCLOSURE STATEMENT

To Commissioner of Patents:

Sir: Applicant requests that the information disclosed on the accompanying PTO Form 1449 be considered by the Patent Office for the reasons checked here:

- ☐ It is being filed pursuant to 37 CFR § 1.97(b)(1) within three months of the filing date. No fee is required.
- ☐ It is being filed pursuant to 37 CFR § 1.97(b)(3) or (4) before the mailing date of a first office action on the merits. No fee is required.
- ☒ It is being filed pursuant to 37 CFR § 1.97(c)(1), before the mailing date of a final action or before a notice of allowance accompanied with the below statement. No fee is required.

**The information contained in the Information Disclosure Statement PTO form 1449 was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.**

- ☐ In the event that a first Office Action has already issued and is crossing in the mail with this information, it is being filed pursuant to 37 CFR § 1.97(c)(2), before the mailing date of a final action or before a notice of allowance and is accompanied with the fee set forth in § 1.17(p). The Office is hereby authorized to charge the fee to Deposit Account No.14-0455.
- ☐ It is being filed pursuant to 37 CFR § 1.97(c)(2), before the mailing date of a final action or before a notice of allowance and is accompanied with the fee set forth in § 1.17(p). The Office is hereby authorized to charge the fee to Deposit Account No.14-0455.
- ☐ It is being filed pursuant to 37 CFR § 1.97(d)(1) and (2), after the mailing date of a final action or after a notice of allowance, but before or simultaneously with the payment of the issue fee, and is accompanied with the below statement and the fee set forth in § 1.17(p). The Office is hereby authorized to charge the fee to Deposit Account No.14-0455.

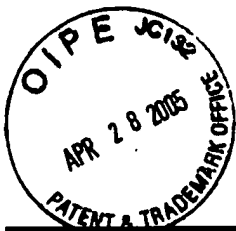
**The information contained in the Information Disclosure Statement PTO form 1449 was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.**

Respectfully submitted,

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#64784



PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

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Substitute for Form PTO-1449A/PTO  
INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Attorney Docket Number: 3017A.EEM

Application  
No.:  
10/783,315

EXAMINER NAME:

First Named Inventor: Michael W. Pinter et al.

Filing Date: 02/20/2004

Group Art:  
1714

## U.S. PATENT DOCUMENTS

*Examiner Initials	Cite No. <sup>1</sup>	Document Number Number – Kind Code <sup>2</sup> (if known)	Pub. Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document			Pages
		US- 5,427,698	06/27/95	Hirokawa et al.			
		US- 5,783,308	07/21/98	Leendersen			
		US-					
		US-					
		US-					
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## FOREIGN PATENT DOCUMENTS

*Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Pub. Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document			Pages
		EP 0 737 656	16.10.96	Bogan			
		JP 05051557	02/03/93	Yamamura			

EXAMINER SIGNATURE

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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